



INDIAN INSTITUTE OF BANK MANAGEMENT

“A Centre of Excellence in the Service of Banks, FIs and their Customers”

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Offline Refresher Programme on ‘Compliance in Banks’ for Bank Officers

March 18-19, 2026

Focus:

The Compliance Function envisages strict observance of all statutory provisions contained in various legislations such as Banking Regulation Act, Reserve Bank of India Act, Foreign Exchange Management Act, Prevention of Money Laundering Act etc. as well as to ensure observance of other regulatory guidelines issued from time to time; standards and codes prescribed by IBA, FEDAI, FIMMDA, BCSBI etc. and also Bank’s internal policies and fair practices code.

Compliance laws, rules and standards generally cover matters such as observing proper standards of market conduct, managing conflicts of interest, treating customers fairly, and ensuring the suitability of customer advice. They also include specific areas such as the prevention of money laundering and terrorist financing and may extend to tax laws that are relevant to the structuring of Banking products or customer advice.

Programme Objectives:

- Compliance culture- principles, process & procedure, policy
- Compliance to KYC, AML guidelines, CFT & TBML guidelines & reporting systems.
- FATF and EGMONT group recommendations on ML/ TF compliance
- Compliance Audit & Compliance vs. Internal audit, Compliance to internal policies of the bank.
- Compliance Risk Management- ISSUES AND CONCERNS
- Cost of Non- compliance to banks & Causes of non-compliance
- Compliance to statutory provisions contained in various legislations like Banking Regulation Act, RBI act, FEMA & PMLA
- Compliance to codes prescribed by FEDAI & FIMMDA

Learning:

- Compliance laws, rules and standards have various sources, including primary legislation, rules and standards issued by legislators and supervisors, market conventions, codes of practice promoted by industry associations, and internal codes of conduct applicable to the staff members of the Bank will be the important sources for compliance laws, rules and standards. These rules and standards may go beyond what is legally binding.

Pedagogy:

This course will be covered over a period of two days March 18-19, 2026 (from Wednesday to Thursday). The programme is based on highly interactive methodology, group discussion followed by an EXIT TEST.

For whom:

This programme has been designed for Officers (Scale 1-4) of the Banks, Co-op Banks, RRBs & UCBs working in branches as well as in RO/ZO/ Head Office- COMPLIANCE Department.

When & Where:

The programme will be conducted at IIBM, Guwahati. The programme will commence on March 18, 2026 (Wednesday) and end by March 19, 2026(Thursday)

Registration:

Participant shall be nominated by his/her organization through email: **programme@iibm.ac.in**. Online nomination is accepted through our website www.iibm.ac.in. **The last date for receiving nomination is March 14, 2026.** Nominating Authority shall send mobile number (WhatsApp must) & email id of the nominee.

Fee:

The all-inclusive fee per participant (includes the cost of tuition, access to reading materials etc.) for the programme is

	Fee	GST 18%	Total
Commercial Banks / Non Sponsor Banks/FIs /NBFCs	Rs. 9,600/-	Rs. 1728/-	Rs. 11,328/-
Sponsor Banks of IIBM viz. RBI, NABARD, SBI, UCO Bank, Central Bank of India, Indian Bank & Union Bank of India.	Rs.9,000/-	Rs. 1,620/-	Rs. 10,620/-
Cooperative Banks & RRBs	Rs. 7,000/-	Rs. 1,260/-	Rs. 8,260/-
UCBs located in north eastern region including Sikkim	NIL	NIL	NIL
UCBs from states other than NER (50% of normal fees of Co-op Banks)	Rs. 3,500/-	Rs.630/-	Rs.4,130/-

a. Details of our Bank Account are as follows:

Beneficiary Name	INDIAN INSTITUTE OF BANK MANAGEMENT
Bank A/c No	10821418158
A/c Type	S B A/c
MICR Code	781002037
IFS Code	SBIN0010669
Name of the Branch	IIBM Branch

b. While remitting programme participation fee kindly advise us the following particulars through the email address mentioned above under registration.

Title of Programme including Commencement Date	Name of the Participant/s	Name of Bank/ Organisation	Amt. of Fee	EFT/NEFT UTR No.	EFT/NEFT Date

Program Director:

Shri A.K Bajpai, Faculty Member, Cell: (+91) 9962094369
